







Audit Subject	Status November 2015	Opinion	Comments
<b><u>2014/15 Internal Audit Plan</u></b>			
<b><u>Assurance Work – Key Systems</u></b>			
Corporate and Ethical Governance	Completed	Substantial Assurance	Reported June 2015
Creditors	Completed	Adequate Assurance	Reported June 2015
Housing Benefits	Completed	Adequate Assurance	Reported June 2015
Housing Rents	Completed	Substantial Assurance	Reported June 2015
Main Accounting System	Completed	Substantial Assurance	Reported June 2015
Payroll	Completed	Substantial Assurance	Reported June 2015
Sundry Debtors	Completed	Adequate Assurance	Reported June 2015
<b><u>Assurance Work – Other Systems</u></b>			
Coast Protection	Completed	Substantial Assurance	Reported September 2015
S106 (Follow Up)	Fieldwork		
<b>Departmental Staffing – Public Experience</b>	<b>Completed</b>	<b>Adequate Assurance</b>	
Financial Strategy / Resilience	Completed	Substantial Assurance	Reported June 2015
Housing Repairs and Maintenance	Completed	Adequate Assurance	Reported June 2015
Licensing	Completed	Adequate Assurance	Reported June 2015
Private Sector Housing	Completed	Adequate Assurance	Reported June 2015
Risk Management	Completed	Adequate Assurance	Reported June 2015
Sale of Council Houses	Completed	Adequate Assurance	Reported June 2015
Tendring Careline	Completed	Adequate Assurance	Reported September 2015
<b><u>Assurance Work – Computer Audit</u></b>			
IT Governance	Completed	Substantial Assurance	Reported September 2015

Audit Subject	Status November 2015	Opinion	Comments
<b><u>AUDITS SCHEDULED TO COMMENCE IN 2015/16</u></b>			
<b><u>2015/16 Internal Audit Plan</u></b>			
<b><u>Assurance Work – Key Systems</u></b>			
Bank Account	Fieldwork		
Cashiers / Income Control	Allocated		
Corporate and Ethical Governance	Unallocated		
Council Tax	Fieldwork		
<b>Creditors</b>	<b>Completed</b>	<b>Substantial Assurance</b>	
<i>Departmental Procurement</i>			
Life Opportunities Procurement	Completed	Adequate Assurance	Reported September 2015
Public Experience Procurement (Follow Up)	Deferred		
Housing Benefits	Allocated		
Housing Rents	Allocated		
Main Accounting System	Draft Report		
National Non Domestic Rates	Allocated		
Payroll	Allocated		
Sundry Debtors	Allocated		
Treasury Management	Allocated		
<b><u>Assurance Work – Other Systems</u></b>			
Asset Management	Draft Report		
BACS	Completed	Substantial Assurance	Reported September 2015
Business Continuity	Deferred		
Cemeteries and Crematorium	Completed	Substantial Assurance	Reported September 2015 – Cremator contract

<b>Audit Subject</b>	<b>Status November 2015</b>	<b>Opinion</b>	<b>Comments</b>
Clacton Leisure Centre	Completed	Adequate Assurance	Reported September 2015
Coast Protection	Unallocated		
Community Leadership Projects	Deferred		
Corporate Services Staffing	Delayed		
Development Management	Unallocated		
<b>Dovercourt Bay Lifestyles</b>	<b>Completed</b>	<b>Adequate Assurance</b>	
Elections and Electoral Registration	Allocated		
Emergency Planning	Fieldwork		
Food Safety	Deferred		
Fraud Investigation Team	Deferred		
Health and Safety	Deferred		
Household Waste and Recyclable Materials	Unallocated		
Housing Repair and Maintenance	Allocated		
Leisure Services Development Programme	Allocated		
New Build / Acquisition of Housing Stock	Deferred		
Office Rationalisation and Modernisation	Deferred		
Open Spaces, Horticulture and Play Areas	Allocated		
Parking Services	Fieldwork		
Planning Enforcement	Fieldwork		
<b>Procurement</b>	<b>Completed</b>		
Public Conveniences	Unallocated		
Regeneration	Unallocated		
Risk Management	Unallocated		
Seafront	Completed	Substantial Assurance	Reported September 2015 - Spot check only
<b>Theatres and Entertainments</b>	<b>Completed</b>	<b>Adequate Assurance</b>	

**Tendring District Council Internal Audit Plan**  
(Position at November 2015)

<b>Audit Subject</b>	<b>Status November 2015</b>	<b>Opinion</b>		<b>Comments</b>
<b>Tourist Information Centres</b>	<b>Completed</b>	<b>Adequate Assurance</b>		
<b><u>Assurance Work – Computer Audit</u></b>				
<b>Agresso Computer Application Review</b>	<b>Completed</b>	<b>Substantial Assurance</b>		
ICT Business Continuity / Disaster Recovery	Allocated			
ICT Project Management	Unallocated			
IT Governance	Unallocated			
Payroll / Human Resources Computer Application	Unallocated			
<b>Status Key</b>				
<b>Unallocated</b>	Audit in Audit Plan, but no work undertaken yet			
<b>Allocated</b>	Audit is being scoped / has been scoped and awaiting commencement			
<b>Fieldwork</b>	Audit in progress			
<b>Draft Report</b>	Audit fieldwork complete, but Final Report not yet issued			
<b>Completed</b>	Final Report issued and audit results reported to Audit Committee			
<b>Deferred</b>	Audit was in Audit Plan, but will now be undertaken in a later year. Deferred audits agreed by Audit Committee			
<b>Delayed</b>	Valid request from function being audited for audit to be undertaken later than proposed			